

RENATA BANDOV



PROFILE

Dedicated professional with over 20 years of work experience across financial industries with law background and staff management responsibility

PROFESSIONAL CAREER

August 2018 – present **Deutsche Börse AG, Frankfurt am Main**

Director - Head of Department, Capital Markets

- Responsible for acquisition of new companies on the Frankfurt Stock Exchange and the entire sales business in the primary market
- Strategic further development of primary market business, i.e. the market segments, services, products and policies on the Frankfurt Stock Exchange
- Supporting and advising around 560 listed companies and other stakeholders and partners on capital market transactions, as well as banks, auditors, legal advisers and investors
- Head of the Deutsche Börse Venture Network, a network for young start-ups comprising tech companies, venture capital and private equity investors and family offices
- Responsible for the legal admission process for all securities on the Frankfurt Stock Exchange, including checking subsequent requirements and enforcing sanctions processes
- Responsible for/managing strategic projects at the Deutsche Börse Group in the cash market segment and advising the Executive Board on strategic issues in the context of the primary market
- Representing the Frankfurt Stock Exchange at national/international conferences and to national/international supervisory authorities (FESE, Bafin, ESMA)

March 2009 – August 2018 **Head of Section, Cash Market, Listing Services**

Head of Listing Services, work in securities listing, including checking subsequent requirements on the cash market and developing stock exchange segments.

January 2008 – February 2009 **cominvest Asset Management GmbH, Frankfurt am Main**

In-house lawyer in the legal department

Focus on investment law, securities law, contract law

- Advising the management and all areas of cominvest on all legal matters relating to investment, company and contract law
- Preparing documentation and contracts, admitting German and Luxembourg cominvest funds for public distribution in other European countries, liaising and coordinating with European supervisory authorities
- Preparing and reviewing documentation (contracts, sales prospectuses) and providing legal and supervisory support for all activities

November 2005 – December 2007 **Allgemeine HypothekenBank Rheinboden AG, Frankfurt am Main**

In-house lawyer in the legal department, compliance officer and anti-money laundering officer

Focus on capital market law, securities law, contract law

- Head of and responsible for the bank's compliance and anti-money-laundering department, reporting directly to the Executive Board
- Monitoring and ensuring subsequent obligations and supervisory reporting requirements, in particular under the German Securities Trading Act/German Banking Act
- Negotiating and concluding German and international framework agreements for financial futures (DRV/ISDA) and ongoing legal monitoring of these agreements
- Providing legal support and advice for securities transactions (preparing/amending contract documentation)
- Advising and supporting on all issues related to capital market and securities law and various bank projects: repurchase offers for securities, swap portfolio transfers/terminations, preparing prospectuses for listing and public securities offers
- Providing legal support when implementing new product processes and guidelines

**May 2002 –
October 2005**

Deutsche Börse AG, Frankfurt am Main

**In-house lawyer in the Xetra Operations department, Section Listing
(office of the Admission Board of the Frankfurt Stock Exchange),
representing team management in equity/non-equity**

Focus on capital market law, stock exchange law, securities law, public law

- Admitting and revoking securities to/from the official market and the regulated market of the Frankfurt Stock Exchange, including monitoring of post-admission obligations
- Reviewing prospectuses for stock market listings and public securities offers
- Legal support and responsibility for financial and capital market projects (“resegmentation” after closure of the Neuer Markt, restructuring of the regulated unofficial market (*Freiverkehr*) trading segment)

EDUCATION

January 2002

Licensed attorney at the Frankfurt am Main District Court

February 2000 –
September 2001

Legal graduate trainee at the Frankfurt am Main District Court,
qualification:
Second state law examination

August 1999 –
January 2000

Maternity leave and parental leave

October 1992 –
May 1998

Study of law at Johann-Wolfgang-Goethe University in Frankfurt am Main,
qualification: First state law examination

May 1992

Bettina-Gymnasium Frankfurt am Main, school leaving certificate

POSITIONS ON MANAGEMENT OR SUPERVISORY BODIES AND OTHER POSITIONS

April 2021 –
present

Member of the Börse Frankfurt Zertifikate AG Supervisory Board

November 2019 –
present

Member of the KfW Capital Advisory Board

February 2014 –
June 2020

Security trustee for Eurex Clearing